

Please type a plus sign (+) inside this box → [+]



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|---|------------------------|-------------------------|
| Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | 09/352,362 |
| | | | | Filing Date | July 13, 1999 |
| | | | | First Named Inventor | Shunpei YAMAZAKI et al. |
| | | | | Group Art Unit | 2815 |
| | | | | Examiner Name | J. Diaz |
| Sheet | 1 | of | 1 | Attorney Docket Number | 0756-1996 |

| U.S. PATENT DOCUMENTS | | | | | | |
|--------------------------------|-----------------------|----------------------|--------------------------------------|---|---|---|
| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
| D 2 | | 5,869,387 | | Sato et al. | 02/09/1999 | |
| | | 5,891,764 | | Ishihara et al. | 04/06/1999 | |
| | | 5,985,681 | | Hamajima et al. | 11/16/1999 | |
| | | 6,221,738 | | Sakaguchi et al. | 04/24/2001 | |
| | | 6,335,541 | | Ohtani et al. | 01/01/2002 | |
| | | 6,365,933 | | Yamazaki et al. | 04/02/2002 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------------|-----------------------|-------------------------|---------------------|--------------------------------------|---|---|---|
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------------------|---|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | | |
| | | | |

| | | | |
|--------------------|--|-----------------|---------|
| Examiner Signature | | Date Considered | 7/26/01 |
|--------------------|--|-----------------|---------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

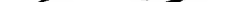
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | | | | | | | | | | | |
|--|-------------------------|----|---|--------------------|------------|-------------|---------------|----------------------|-------------------------|----------------|------|---------------|--------------|------------------------|---------------|
| Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. | | | | | | | | | | | | | | | |
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | | | | | | | | | | | | |
| <div style="text-align: right;"><i>Complete if Known</i></div> <table border="1" style="width: 100%;"> <tr> <td>Application Number</td> <td>09/352,362</td> </tr> <tr> <td>Filing Date</td> <td>July 13, 1999</td> </tr> <tr> <td>First Named Inventor</td> <td>Shunpei YAMAZAKI et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>2815</td> </tr> <tr> <td>Examiner Name</td> <td>Jose R. DIAZ</td> </tr> <tr> <td>Attorney Docket Number</td> <td>740756-001996</td> </tr> </table> | | | | Application Number | 09/352,362 | Filing Date | July 13, 1999 | First Named Inventor | Shunpei YAMAZAKI et al. | Group Art Unit | 2815 | Examiner Name | Jose R. DIAZ | Attorney Docket Number | 740756-001996 |
| Application Number | 09/352,362 | | | | | | | | | | | | | | |
| Filing Date | July 13, 1999 | | | | | | | | | | | | | | |
| First Named Inventor | Shunpei YAMAZAKI et al. | | | | | | | | | | | | | | |
| Group Art Unit | 2815 | | | | | | | | | | | | | | |
| Examiner Name | Jose R. DIAZ | | | | | | | | | | | | | | |
| Attorney Docket Number | 740756-001996 | | | | | | | | | | | | | | |
| Sheet | 1 | of | 2 | | | | | | | | | | | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patente ^e or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
| <i>D</i> | | 4,986,213 | | Yamazaki et al. | 01/22/1991 | |
| | | 5,147,826 | | Liu et al. | 09/15/1992 | |
| | | 5,365,875 | | Asai et al. | 11/22/1994 | |
| | | 5,426,064 | | Zhang et al. | 06/20/1995 | |
| | | 5,461,250 | | Burghartz et al. | 10/24/1995 | |
| | | 5,569,610 | | Zhang et al. | 10/29/1996 | |
| | | 5,616,932 | | Sano et al. | 04/01/1997 | |
| | | 5,639,698 | | Yamazaki et al. | 06/17/1997 | |
| | | 5,696,386 | | Yamazaki | 12/09/1997 | |
| | | 5,712,191 | | Nakajima et al. | 01/27/1998 | |
| | | 5,843,833 | | Ohtani et al. | 12/01/1998 | |
| | | 5,846,869 | | Hashimoto et al. | 12/08/1998 | |
| | | 5,854,096 | | Ohtani et al. | 12/29/1998 | |
| | | 5,869,363 | | Yamazaki et al. | 02/09/1999 | |
| | | 5,879,977 | | Zhang et al. | 03/09/1999 | |
| | | 5,888,857 | | Zhang et al. | 03/30/1999 | |
| | <i>D</i> | | 5,897,347 | | Yamazaki et al. | 04/27/1999 |
| | | / | | / | | |
| | | | | | | |
| | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--------------------------|-------------------------|---------------------|---|--|--|--|----------------|
| Examiner Initials* | Cite No. [†] | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T [*] |
| | | Office ^a | Number ^b | Kind Code ^c <i>(if known)</i> | | | | |
| | | / | | | / | / | | |

| | | | |
|-----------------------|---|--------------------|---------|
| Examiner Signature |  | Date Considered | 7/26/01 |
|-----------------------|---|--------------------|---------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO** Commissioner for Patents, Washington, DC 20231. **COPY**

COPY